SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * MAO ROBERT YU LANG						2. Issuer Name and Ticker or Trading Symbol Energy Recovery, Inc. [ERII]									ationship of F all applicab Director		Person(s) to Issuer) 10% Ov	vner
(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 03/11/2016									Officer (g below)	ive title		Other (below)	specify		
C/O ENERGY RECOVERY, INC. 1717 DOOLITTLE DRIVE					4. If Amendment, Date of Original Filed (Month/Day/Year)									Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(Street) SAN LEANDRO CA 94577 (City) (State) (Zip)															Form file	d by More	than C	ne Reportir	g Person
		Та	able I - No	n-Der	ivativ	e Se	ecuritie	s Acq	uired,	Disp	osed of	, or I	Benefi	cially Ov	/ned				
Date				l. Transaction Date Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)					4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an			Securities Beneficiall Following	Securities		mership : Direct (D) lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(mour i)
40,000 03/1					/11/2016				Р		40,000	0	Α	\$9.454 ⁽¹⁾	97,3	361		D	
			Table II -								sed of, o onvertible				ed				
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Execution Date,)ate,	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Expirat (Month	tion Da /Day/Y	e Secur bar) Deriva (Instr.		7. Title and Amount (Securities Underlying Derivative Security Instr. 3 and 4) Amour or Numbe Title of Sha		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:

1. This figure is the weighted average purchase price of multiple trades ranging from \$9.348 to \$9.482 per share. The reporting person undertakes to provide to the SEC staff, ERI, or a shareholder of ERI full information about the number of shares purchased at each separate price upon request.

<u>/s/ Lemyrtle E. Thompson.</u> <u>Attorney-in-Fact for Robert Yu</u> 03/15/2016 Lang Mao

** Signature of Reporting Person Di

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.