SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

3235-0287 hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Trempont Dominique						2. Issuer Name and Ticker or Trading Symbol Energy Recovery, Inc. [ERII]									tionship of F all applicab Director		Person(s) to Issuer 10% Ov	wher
(Last)	(First)	(M		3. Date of Earliest Transaction (Month/Day/Year) 05/13/2014									Officer (give title below)			Other (s below)	-		
C/O ENERGY RECOVERY, INC. 1717 DOOLITTLE DRIVE					4. lf A	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) SAN LEANDRO CA 94577															Form file	d by More	than O	ne Reportin	ng Person
(City)	(State)	(Zi	p)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date					ite onth/Day/Year) i		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar						Form:	nership Direct (D) irect (I) 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
								Code	v			(A) or (D)	Price	(Instr. 3 and 4)				(1150.4)	
Common Stock 05/1					3/2014				Р		15,721		Α	\$4.629	374,626			D	
Common Stock 05/1					5/14/2014				Р		6,800		Α	\$4.38	381,426			D	
Common Stock 05/2					/23/2014				Р		1,000		Α	\$4.845	382,426			D	
Common Stock 05/2				27/2014	//2014			Р		16,045		Α	\$4.986	398,471			D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	tive Conversion Date Execution D		ate, Transaction Code (Instr.			5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercis Expiration Date (Month/Day/Yea		te Securities Unde		derlying curity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
Evaluation of Po					Code	v	(A) (D)		Date Exercisable		Expiration Date			Amount or Number of Shares		Transaction(s) (Instr. 4)			

planation of Responses:

/s/ Alexander J. Buehler, Attorney-in-Fact for Dominique

05/28/2014

Trempont ** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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