# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

## FORM SD Specialized Disclosure Report

# **Energy Recovery, Inc.**

(Exact name of registrant as specified in its charter)

<u>Delaware</u>

(State or other jurisdiction of incorporation)

001-34112 Commission File Number <u>01-0616867</u> (IRS Employer Identification No.)

<u>94577</u>

(Zip Code)

**<u>1717 Doolittle Drive, San Leandro, CA</u>** (Address of Principal Executive Offices)

<u>Nocair Bensalah - (510) 483-7370</u>

(Name and Telephone Number, including area code, of the person to contact in connection with this report)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2016

 $\checkmark$ 

#### Section 1 - Conflict Minerals Disclosure

## Item 1.01 Conflict Minerals Disclosure

As of the date of this filing, based on our supplier responses to our survey and our analysis of such responses as they apply to the calendar year ended December 31, 2016, there is an indication of Democratic Republic of the Congo ("DRC") sourcing by some of our suppliers, but we cannot ascertain if that DRC source was used in our products. Accordingly, we have continued to proceed with additional due diligence procedures for the purpose of determining the status of our products as it pertains to the source and chain of custody of any such conflict minerals. See Conflict Minerals Report, Exhibit 1.01.

## **Conflict Minerals Disclosure**

This Form SD and the Conflict Minerals Report, filed as Exhibit 1.01 to this report, are publicly available on our website at <u>http://ir.energyrecovery.com/phoenix.zhtml?</u> <u>c=221013&p=irol-sec</u>

Item 1.02 Exhibit

The Conflict Minerals Report required by Item 1.01 is filed as Exhibit 1.01 to this Form SD.

Section 2 – Exhibits

Item 2.01 Exhibits

Exhibit No. Description

1.01 Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Registrant: Energy Recovery, Inc.

/s/ NOCAIR BENSALAH Nocair Bensalah Vice President of Operations

May 23, 2017

## Energy Recovery Inc. Conflict Minerals Report For The Calendar Year Ended December 31, 2016

## **Introduction**

For the year ended December 31, 2016, Energy Recovery, Inc. (the "Company", "Energy Recovery", "our", "us", or "we") in good faith, has conducted a reasonable country of origin inquiry ("RCOI") of our suppliers using the Conflict-Free Sourcing Initiative's ("CFSI") Conflict Minerals Reporting Template ("CMRT"). The scope of the survey was to determine whether any of the conflict minerals as defined in Section 1502(c)(4) of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the "Act") originated in the Democratic Republic of the Congo ("DRC") or an adjoining country (the "Covered Countries") as defined by the Act. The conflict minerals include columbite-tantalite (coltan), cassiterite, gold, wolframite, or their derivatives, which are limited to tantalum, tin, and tungsten ("3TG metals").

Based on the analysis of our products and suppliers, we concluded that some of our products contain one or more of the 3TG metals and that these metals are necessary to the product's functionality or production. Consequently, the products we manufacture are subject to the reporting obligations of Rule 13p-1 of the Securities Exchange Act of 1934.

## **Company Overview:**

Energy Recovery, Inc. (the "Company," "Energy Recovery," "our," "us," and "we") is an energy solutions provider to industrial fluid flow markets worldwideOur core competencies are fluid dynamics and advanced material science. Our products make industrial processes more operational and capital expenditure efficient. Our solutions convert wasted pressure energy into a reusable asset and preserve or eliminate pumping technology in hostile processing environments. Our solutions are marketed and sold in fluid flow markets, such as water desalination, oil & gas, and chemical processing, under the trademarks ERI<sup>®</sup>, PX<sup>®</sup>, Pressure Exchanger<sup>®</sup>, PX Pressure Exchanger<sup>®</sup>, AT<sup>TM</sup>, AquaBold<sup>TM</sup>, VorTeq<sup>TM</sup>, IsoBoost<sup>®</sup>, and IsoGen<sup>®</sup>. Our solutions are owned, manufactured, and/or developed, in whole or in part, in the United States of America ("U.S.") and the Republic of Ireland.

## **Product Overview**

Based on our analysis of our products, we concluded that adapters, spiral rings, nuts, pump bases, fittings, and connectors used in our AquaBolð<sup>4</sup> pumps and other pumps and turbochargers contain hastelloy and/or welded carbon steel. These metals contain the 3TG metal tin. As part of the data collection process, our supply chain, via Company-Level CMRTs, claimed the use of all 3TG metals.

## **Our Conflict Minerals Policy**

We are committed to complying with Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act and plan to procure our products from conflict-free sources. We have considered the rule's requirements along with related guidance from the Organization for Economic Cooperation and Development (the "OECD"), and we expect our suppliers to comply with the Code of Conduct of the Electronic Industry Citizen Coalition ("EICC") and conduct their businesses in alignment with our expectations of supply chain responsibility.

In support of this policy, we:

- Exercise due diligence with suppliers of products containing or expected to contain conflict minerals consistent with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas and encourage our suppliers to do likewise with their suppliers.
- Provide, and expect our suppliers to cooperate in providing, due diligence information to confirm that the tantalum, tin, tungsten, and gold in our supply chain are procured from conflict-free sources.
- Collaborate with our suppliers and others on industry-wide solutions to enable products that are DRC conflict-free.

## **Reasonable Country of Origin Inquiry**

With the assistance of a third party service provider, Assent Compliance (the "Vendor"), we conducted a good faith RCOI regarding the 3TG metals in materials, components, and finished goods supplied to us, including the steps discussed below.

Utilizing version 4.10 or higher of CFSI's CMRT, we engaged 17 potential 3TG metal suppliers to collect information regarding the presence and sourcing of 3TG metals in their products.

We procure components from the following major types of suppliers:

- Manufacturer or Direct Supplier;
- Contract manufacturer producing items to match specifications and standards set by us; or
- Distributor or reseller of manufactured components for other manufacturers.

The Vendor followed up with all unresponsive suppliers through a defined process via both automated email and one-to-one email, including offering assistance and further information to suppliers about the requirements of the Act and Energy Recovery's program. If, after these outreach efforts a supplier still did not respond to the survey, a Company supply chain manager was asked to directly contact the supplier for a response.

The Energy Recovery program includes automated data validation on all submitted CMRTs via the Vendor's software. The goal of data validation is to increase the accuracy of submissions and identify any contradictory answers in the CMRT. All submitted forms are accepted and classified as valid or invalid so that data is retained. Suppliers were contacted with regard to invalid forms and were encouraged to resubmit a valid form. As of the date of this filing, we had no invalid supplier submission.

For suppliers who responded that the materials or goods they supplied to Energy Recovery did not contain 3TG metals, a Company supply chain manager and the Vendor verified the responses, and only after such verification were these suppliers removed from the survey process.

Of the suppliers who responded that 3TG metals are in materials, components, and finished goods supplied to Energy Recovery, many did not include the name of any verified smelter or refiner. Based on the responses received from the RCOI, which included thousands of alleged smelter or refiner names, a list was compiled of 310 verified, unique smelters or refiners, including information regarding associated countries of origin (the "unique smelter list"). Energy Recovery, via the Vendor, performed due diligence of the unique smelter list that were known or reasonably believed to have sourced from the DRC or that had unknown sourcing. In the event a supplier declared that 3TG metals present in their products were conflict free, we performed a file review to verify that the information represented included smelters and/or refiners found on the CFSI conflict-free smelter list.

## **Results of our RCOI**

As of the date of this filing, based on our supplier responses to our survey and our analysis of such responses as they apply to the calendar year ended December 31, 2016, there is an indication of DRC sourcing by some of our suppliers but we cannot ascertain if that DRC source was used in our products. Accordingly, we have continued to proceed with additional due diligence procedures for the purpose of determining the status of our products as it pertains to the source and chain of custody of any such conflict minerals.

## Exercise Due Diligence on the Source and Chain of Custody of Our Conflict Minerals

We are required to exercise due diligence on the source and chain of custody of conflict minerals and to follow a nationally or internationally recognized due diligence framework. Our due diligence measures have been designed to conform, in all material respects, with the framework in the 2<sup>nd</sup> Edition of the OECD Due Diligence Guidance for Responsible Chains of Minerals from Conflict-Affected and High-Risk Areas (the "OECD Guidance"), which is an nationally or internationally recognized due diligence framework, and the related supplements for gold, tin, tantalum, and tungsten. We have adopted and communicated our conflict mineral policy to our suppliers and customers, as well as implemented RCOI to our new and existing suppliers. In addition, there are programs in place to encourage our suppliers to source from certified conflict-free smelters.

Consistent with the OECD Guidance, the design of our due diligence has the following features:

- 1.0 Establish strong company management systems
- 2.0 Identify and assess risks in the supply chain
- 3.0 Design and implement a strategy to respond to identified risks
- 4.0 Carry out independent third-party audit of smelter/refiner's due diligence practices
- 5.0 Report annually on supply chain due diligence

These features are discussed in more detail below.

## Inherent Limitations on Due Diligence Measures

As a downstream purchaser of conflict minerals, our due diligence measures can provide only reasonable, not absolute, assurance regarding the source and chain of custody of the necessary conflict minerals. Our due diligence processes are based on the necessity of seeking data from our direct suppliers and those suppliers seeking similar information within their supply chains to identify the original sources of the necessary conflict minerals. Such sources of information may yield inaccurate or incomplete information and may be subject to fraud.

We also anticipate the need to rely, to a large extent, on information collected and provided by independent third party audit programs. Such sources of information may yield inaccurate or incomplete information and may be subject to fraud.

#### Brief description of due diligence measures taken

## 1.0 Strong Company Management Systems:

## Internal Team

We established a management system for complying with the applicable rules. Our management system includes the development of a Conflict Minerals Committee and an Executive Management Oversight Committee. The Conflict Minerals Committee is composed of our Director of Supply Management and Director of SEC Reporting. Our Executive Management Oversight Committee is composed of our Chief Financial Officer, Corporate Controller, and Vice President of Operations. Our Conflict Minerals Committee, supported by a team of subject matter experts from relevant functions such as purchasing, engineering, finance, and legal, is responsible for implementing our conflict mineral compliance policy and strategy. The Executive Management Oversight Committee is briefed about the results of our due diligence efforts periodically.

#### Control Systems

Controls include, but are not limited to, our Code of Business Conduct and Ethics, our Conflict Minerals Policy, regular monitoring of changes in applicable laws, regulations, and guidance, whistleblower mechanisms, regular training of key employee groups, and on-site visits and audits of our suppliers. Our Conflict Minerals Policy related to our sourcing of 3TGs is posted on our website at <u>http://ir.energyrecovery.com/phoenix.zhtml?c=221013&p=irol-govhighlights</u> within the "Investor" section under "Corporate Governance."

We provide training to all compliance team members and have developed training materials for our suppliers to enable them to comply with the conflict minerals compliance and reporting process and also with our policies.

This year, we put a stronger emphasis on supplier education and training. To accomplish this, we utilized our third-party vendor's learning management system and provided all in-scope suppliers access to their conflict minerals training course. This training is tracked and evaluated based on completion. All suppliers are encouraged to complete all modules within this course.

## Supplier Engagement

With respect to the OECD requirement to strengthen engagement with suppliers, we have utilized the CMRT version 4.10 or higher and a third-party vendor's software reporting tool for collecting the applicable information from our supply base. The use of these tools has allowed us to assist our suppliers in understanding our expectations and requirements and increase the rate of responses we have received from our suppliers to our survey requests.

We have also communicated with suppliers potentially affected by our Conflict Minerals Policy and compliance efforts as identified through our RCOI process our expectation that they assist us in complying with our efforts related to our Conflict Minerals Program. This includes obtaining information to support the chain of custody of the 3TG identified in our products. We have provided suppliers access to our Conflict Minerals Policy through the website above or upon request.

## Grievance Mechanism

We maintain several methods in which employees or third parties may notify us of potential issues with our Conflict Minerals Program, including an anonymous employee hotline, email resources both internally and on our website, direct phone numbers, and our open door policy.

## Maintain records

We maintain Company-wide document retention policies. These policies extend to the documentation accumulated in performing our 3TG due diligence procedures and requires that documentation be retained for a period of five (5) years.

## 2.0 Identification and Assessment of Risks in the Supply Chain:

We made reasonable efforts to identify suppliers that provide products that may potentially contain conflict minerals by conducting a supply chain survey through the CFSI CMRT. By way of the CMRT, we requested suppliers to identify smelters and refiners and country of origin of the conflict minerals in products that they supply to us. We followed up with suppliers that did not respond to CMRT by requesting their responses again and again. We then compared any smelters or refiners identified by the supply chain survey against the list of facilities that have received a "conflict free" designation from the CFSI Program. We also reviewed the responses to identify red flags for further follow-up and to identify any key risks to our supply chain.

It is important to note that Energy Recovery has relied on supplier responses to provide us with the information about the source of 3TGs contained in the parts and components they supply to us. Similarly, our direct suppliers also rely on information provided by their suppliers. This chain of information creates a level of uncertainty and risk related to the accuracy of the information. We will continue to monitor, adapt, and modify our due diligence practices to conform to the recognized industry best practices.

In accordance with OECD Guidelines, it is important to understand risk levels associated with conflict minerals in the supply chain. Each facility that meets the CFSI definition of a smelter or refiner of a 3TG mineral is assigned a risk of high, medium, or low based on 3 scoring criteria:

- 1. Geographic proximity to the DRC and Covered Countries;
- 2. Conflict-Free Smelter Program (CFSP) audit status;
- 3. Known or plausible evidence of unethical or conflict sourcing.

Based on these criteria, the following facilities have been identified as being of highest concern to the supply chain:

- Tony Goetz NV CID002587
- Kaloti Precious Metals CID002563
- Phoenix Metals CID002507
- Universal Precious Metals Refining Zambia CID002854
- Fidelity Printers and Refiners CID002515
- Sudan Gold Refinery CID002567

If these facilities were reported on a CMRT by one of the suppliers surveyed, risk mitigation activities are initiated. Through our third-party vendor, Assent Compliance, submissions that include any of the above facilities immediately produce a receipt instructing the supplier to take their own risk mitigation actions, including submission of a product specific CMRT to better identify the connection to products that they supply to Energy Recovery, and escalating up to removal of these high risk smelters from our supply chain.

As per the OECD Due Diligence Guidance, risk mitigation will depend on the supplier's specific context. If necessary, suppliers are given clear performance objectives within reasonable timeframes with the ultimate goal of progressive elimination of these risks from the supply chain.

In addition, suppliers are guided to the Assent University learning platform to engage in educational materials on mitigating the risk of smelters or refiners on the supply chain.

We also calculate supplier risk based on the chances that the supplier provides 3TG that may originate from non-conflict free sources. The value of this risk is calculated based on the risk ratings of the smelters declared by that supplier on their CMRT.

Additionally, suppliers are evaluated on program strength (further assisting in identifying risk in the supply chain). Many companies continue to be in the middle of the process and still have "unknown" as some of the answers. It has been decided that penalizing or failing them for working through the process is likely not the best approach and it does not meet the goals or spirit of the Rule. However, evaluating and tracking the strength of the program does meet the OECD Due Diligence Guidelines and can assist in making key risk mitigation decisions as the program progresses. The criteria used to evaluate the strength of the program are the following items from the CMRT:

- A. Do you have a policy in place that includes DRC conflict-free sourcing?
- E. Have you implemented due diligence measures for conflict-free sourcing?
- H. Do you verify due diligence information received from your suppliers?
- I. Does your verification process include corrective action management?

When suppliers meet or exceed the above criteria, by answering yes to all four questions, they are deemed to have a strong program. When suppliers do not meet those criteria, they are deemed to have a weak program. We store all of this information and will continue to assess our suppliers' program strength and monitor any improvements or changes.

## 3.0 Strategic Response to Identified Risks:

We have implemented a risk mitigation response plan to monitor and track suppliers, smelters, and refiners identified as not meeting the requirements set forth in our Conflict Minerals Sourcing Policy or contractual requirements to determine their progress in meeting those requirements. We continuously make every reasonable effort to encourage suppliers who are sourcing from non-Conflict-Free Smelters to move towards using Conflict-Free smelters. If a supplier fails to remedy the risks identified by our compliance risk assessment, the Conflict Minerals Committee escalate the risk to the Executive Management Oversight Committee to determine whether to approve or reject the supplier based on the following factors: a cost and benefit analysis; potential risk factors; any existing competitive bids; and whether the supplier is the Company's single source of supply. If the Executive Management Oversight Committee decides to continue the business relationship due to inherent limitations of the supply chain, we use reasonable efforts to follow up with the supplier for its corrective plan and encourage the supplier to work with CFSI-certified smelters. We also provide periodic compliance updates or reports to the Executive Management Oversight Committee with oversight of the Conflict Minerals Committee summarizing our risk mitigation efforts.

## 4.0 Independent Third Party Audit of Smelter/Refiner's Due Diligence Practices:

We do not have a direct relationship with 3TG metal smelters and refiners, and therefore we are not able to perform direct audits of those entities that provide the 3TG metals to our supply chain. We do, however, rely upon industry efforts, including the CFSI, to influence smelters and refiners to become audited and certified through CFSI's program. We rely upon the summary audit reports for the compliance status of smelters generated by the CFSI program to validate the responses received from our suppliers to our RCOI process and to address red flags identified in Step 2 above. We believe our efforts adequately address this requirement in the OECD Guidance.

## 5.0 Annual Report on Supply Chain Due Diligence:

In addition to this report, our Conflict Minerals Sourcing Policy is posted on our Company website at <u>http://ir.energvrecovery.com/phoenix.zhtml?c=221013&p=irol-govhighlights</u>

## Results of due diligence measures taken

Due to the level of complexity of our products and the respective supply chain, it will take additional time and resources for a number of our suppliers to verify, specifically for our products, the source mines and country of origin of all of the minerals used by their smelters. We are committed to continuing the use of our supply chain due diligence processes, leveraging the industry standard CFSI program, and the ongoing update of our supplier RCOI information as we continue to develop additional transparency into our supply chain. As of the date of this filing, however, based on the performance of our due diligence procedures noted above for the calendar year ended December 31, 2016, we are not able to accurately trace and identify the source mine, country of origin, and chain of custody of the 3TG metals, which are necessary to the functionality or production of our products, or determine whether such 3TG metals are sourced from conflict-free sources for all of our products.

## Efforts to Determine the Mine or Location of Origin of the Conflict Minerals in our Products

Tracing materials back to their mine and source country of origin is a complex endeavor, but an important aspect of responsible sourcing. To help establish our supply chain sourcing programs, we have followed currently established industry guidelines, such as those specified by the CFSI program that will enable companies to source minerals from conflict-free sources.

After a review and analysis of all of our products and suppliers, with the assistance of the Vendor, 310 smelters or refiners were identified and verified. See Appendix A for the smelter list. Of the 310 verified smelters, 247 are certified conflict-free by the CFSI.

## Steps to Mitigate Risk

We are committed to complying with the provisions of the Rule and Form SD and expect to continue our efforts to improve our Conflict Minerals Program and related due diligence. As we further develop our program and procedures, we intend to take the following steps to mitigate the risk that any of the 3TGs contained in our products could benefit armed groups in the Covered Countries. These may include, but are not limited to the following:

- Engage with suppliers and direct them to training resources, including increasing the number who utilize our third-party vendor's learning management system, to attempt to increase our response rate and improve the content of responses to assist in our RCOI process and our efforts to determine the processing facilities for and country of origin of our 3TG with the greatest specificity possible;
- Engage suppliers and encourage them to provide responses at the product level;
- Implement a strategy to respond to identified risk, including but not limited to, potential action to be taken against suppliers that do not respond to our requests or do not provide reasonable information to support our due diligence activities; and
- Engage any of our suppliers found to be supplying us with 3TG from sources that support conflict in the Covered Countries to establish an alternative source of 3TG that does not support such conflict.

#### **Conflict Minerals Report**

The Conflict Minerals Report for the calendar year ended December 31, 2016, filed herewith as Exhibit 1.01, is available on our Company website at <u>http://ir.energyrecovery.com/phoenix.zhtml?c=221013&p=irol-govhighlights</u>.

## Appendix A

Metal	Standard Smelter Name	Smelter Facility Location	Smelter ID	CFSI Audit Status
Gold	Abington Reldan Metals, LLC	UNITED STATES	CID002708	Active
Gold	Advanced Chemical Company	UNITED STATES	CID000015	Compliant
Gold	Aida Chemical Industries Co., Ltd.	JAPAN	CID000019	Compliant
Gold	Al Etihad Gold Refinery DMCC	UNITED ARAB EMIRATES	CID002560	Compliant
Gold	Allgemeine Gold-und Silberscheideanstalt A.G.	GERMANY	CID000035	Compliant
Gold	Almalyk Mining and Metallurgical Complex (AMMC)	UZBEKISTAN	CID000041	Compliant
Gold	AngloGold Ashanti Córrego do Sítio Mineração	BRAZIL	CID000058	Compliant
Gold	Argor-Heraeus S.A.	SWITZERLAND	CID000077	Compliant
Gold	Asahi Pretec Corp.	JAPAN	CID000082	Compliant
Gold	Asahi Refining Canada Ltd.	CANADA	CID000924	Compliant
Gold	Asahi Refining USA Inc.	UNITED STATES	CID000920	Compliant
Gold	Asaka Riken Co., Ltd.	JAPAN	CID000090	Compliant
Gold	Atasay Kuyumculuk Sanayi Ve Ticaret A.S.	TURKEY	CID000103	Not Enrolled
Gold	AU Traders and Refiners	SOUTH AFRICA	CID002850	Compliant
Gold	AURA-II	UNITED STATES	CID002851	Not Enrolled
Gold	Aurubis AG	GERMANY	CID000113	Compliant
Gold	Bangalore Refinery	INDIA	CID002863	Active
Gold	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	PHILIPPINES	CID000128	Compliant
Gold	Boliden AB	SWEDEN	CID000157	Compliant
Gold	C. Hafner GmbH + Co. KG	GERMANY	CID000176	Compliant
Gold	Caridad	MEXICO	CID000180	Not Enrolled
Gold	CCR Refinery - Glencore Canada Corporation	CANADA	CID000185	Compliant
Gold	Cendres + Métaux S.A.	SWITZERLAND	CID000189	Active
Gold	Chimet S.p.A.	ITALY	CID000233	Compliant
Gold	Chugai Mining	JAPAN	CID000264	Not Enrolled
Gold	Daejin Indus Co., Ltd.	KOREA, REPUBLIC OF	CID000328	Compliant
Gold	Daye Non-Ferrous Metals Mining Ltd.	CHINA	CID000343	Not Enrolled
Gold	DODUCO GmbH	GERMANY	CID000362	Compliant
Gold	Dowa	JAPAN	CID000401	Compliant
Gold	DSC (Do Sung Corporation)	KOREA, REPUBLIC OF	CID000359	Compliant
Gold	Eco-System Recycling Co., Ltd.	JAPAN	CID000425	Compliant
Gold	Elemetal Refining, LLC	UNITED STATES	CID001322	Compliant

Gold	Emirates Gold DMCC	UNITED ARAB EMIRATES	CID002561	Compliant
Gold	Fidelity Printers and Refiners Ltd.	ZIMBABWE	CID002515	Not Enrolled
Gold	Gansu Seemine Material Hi-Tech Co., Ltd.	CHINA	CID000522	Not Enrolled
Gold	Geib Refining Corporation	UNITED STATES	CID002459	Active
Gold	Great Wall Precious Metals Co., Ltd. of CBPM	CHINA	CID001909	Not Enrolled
Gold	Guangdong Jinding Gold Limited	CHINA	CID002312	Not Enrolled
Gold	Gujarat Gold Centre	INDIA	CID002852	Not Enrolled
Gold	Guoda Safina High-Tech Environmental Refinery Co., Ltd.	CHINA	CID000651	Not Enrolled
Gold	Hangzhou Fuchunjiang Smelting Co., Ltd.	CHINA	CID000671	Not Enrolled
Gold	Heimerle + Meule GmbH	GERMANY	CID000694	Compliant
Gold	Heraeus Ltd. Hong Kong	CHINA	CID000707	Compliant
Gold	Heraeus Precious Metals GmbH & Co. KG	GERMANY	CID000711	Compliant
Gold	Hunan Chenzhou Mining Co., Ltd.	CHINA	CID000767	Not Enrolled
Gold	Hwasung CJ Co., Ltd.	KOREA, REPUBLIC OF	CID000778	Not Enrolled
Gold	Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd.	CHINA	CID000801	Compliant
Gold	Ishifuku Metal Industry Co., Ltd.	JAPAN	CID000807	Compliant
Gold	Istanbul Gold Refinery	TURKEY	CID000814	Compliant
Gold	Japan Mint	JAPAN	CID000823	Compliant
Gold	Jiangxi Copper Co., Ltd.	CHINA	CID000855	Compliant
Gold	JSC Ekaterinburg Non-Ferrous Metal Processing Plant	RUSSIAN FEDERATION	CID000927	Compliant
Gold	JSC Uralelectromed	RUSSIAN FEDERATION	CID000929	Compliant
Gold	JX Nippon Mining & Metals Co., Ltd.	JAPAN	CID000937	Compliant
Gold	Kaloti Precious Metals	UNITED ARAB EMIRATES	CID002563	Not Enrolled
Gold	Kazakhmys Smelting LLC	KAZAKHSTAN	CID000956	Not Enrolled
Gold	Kazzinc	KAZAKHSTAN	CID000957	Compliant
Gold	Kennecott Utah Copper LLC	UNITED STATES	CID000969	Compliant
Gold	KGHM Polska Miedź Spółka Akcyjna	POLAND	CID002511	Active
Gold	Kojima Chemicals Co., Ltd.	JAPAN	CID000981	Compliant
Gold	Korea Zinc Co., Ltd.	KOREA, REPUBLIC OF	CID002605	Compliant
Gold	Kyrgyzaltyn JSC	KYRGYZSTAN	CID001029	Compliant
Gold	L'azurde Company For Jewelry	SAUDI ARABIA	CID001032	Not Enrolled
Gold	Lingbao Gold Co., Ltd.	CHINA	CID001056	Not Enrolled
Gold	Lingbao Jinyuan Tonghui Refinery Co., Ltd.	CHINA	CID001058	Not Enrolled

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8 ( )		CID001147	Compliant
Metalor Technologies S.A.	SWITZERLAND	CID001153	Compliant
Metalor USA Refining Corporation	UNITED STATES	CID001157	Compliant
Metalúrgica Met-Mex Peñoles S.A. De C.V.	MEXICO	CID001161	Compliant
Mitsubishi Materials Corporation	JAPAN	CID001188	Compliant
Mitsui Mining and Smelting Co., Ltd.	JAPAN	CID001193	Compliant
MMTC-PAMP India Pvt., Ltd.	INDIA	CID002509	Compliant
Modeltech Sdn Bhd	MALAYSIA	CID002857	Not Enrolled
Morris and Watson	NEW ZEALAND	CID002282	Not Enrolled
Moscow Special Alloys Processing Plant	RUSSIAN FEDERATION	CID001204	Compliant
Nadir Metal Rafineri San. Ve Tic. A.Ş.	TURKEY	CID001220	Compliant
Navoi Mining and Metallurgical Combinat	UZBEKISTAN	CID001236	Active
Nihon Material Co., Ltd.	JAPAN	CID001259	Compliant
Ögussa Österreichische Gold- und Silber-Scheideanstalt GmbH	AUSTRIA	CID002779	Compliant
Ohura Precious Metal Industry Co., Ltd.	JAPAN	CID001325	Compliant
OJSC "The Gulidov Krasnoyarsk Non-			
Ferrous Metals Plant" (OJSC Krastsvetmet)	RUSSIAN FEDERATION	CID001326	Compliant
OJSC Novosibirsk Refinery	RUSSIAN FEDERATION	CID000493	Compliant
PAMP S.A.	SWITZERLAND	CID001352	Compliant
Penglai Penggang Gold Industry Co., Ltd.	CHINA	CID001362	Not Enrolled
Prioksky Plant of Non-Ferrous Metals	RUSSIAN FEDERATION	CID001386	Compliant
PT Aneka Tambang (Persero) Tbk	INDONESIA	CID001397	Compliant
PX Précinox S.A.	SWITZERLAND	CID001498	Compliant
Rand Refinery (Pty) Ltd.	SOUTH AFRICA	CID001512	Compliant
Remondis Argentia B.V.	NETHERLANDS	CID002582	Not Enrolled
Republic Metals Corporation	UNITED STATES	CID002510	Compliant
Royal Canadian Mint	CANADA	CID001534	Compliant
SAAMP	FRANCE	CID002761	Not Enrolled
Sabin Metal Corp.	UNITED STATES	CID001546	Not Enrolled
	Metalor USA Refining Corporation   Metalúrgica Met-Mex Peñoles S.A. De C.V.   Mitsubishi Materials Corporation   Mitsubishi Materials Corporation   Mitsui Mining and Smelting Co., Ltd.   MMTC-PAMP India Pvt., Ltd.   Modeltech Sdn Bhd   Morris and Watson   Moscow Special Alloys Processing Plant   Nadir Metal Rafineri San. Ve Tic. A.Ş.   Navoi Mining and Metallurgical Combinat   Nihon Material Co., Ltd.   Ögussa Österreichische Gold- und Silber-Scheideanstalt GmbH   Ohura Precious Metal Industry Co., Ltd.   OJSC "The Gulidov Krasnoyarsk Non-   Ferrous Metals Plant" (OJSC Krastsvetmet)   OJSC Novosibirsk Refinery   PAMP S.A.   Penglai Penggang Gold Industry Co., Ltd.   Prioksky Plant of Non-Ferrous Metals   PT Aneka Tambang (Persero) Tbk   PX Précinox S.A.   Rand Refinery (Pty) Ltd.   Remondis Argentia B.V.   Republic Metals Corporation   Royal Canadian Mint   SAAMP	Luoyang Zijin Yinhui Gold Refinery Co., Ltd.CHINAMaterionUNITED STATESMatsuda Sangyo Co., Ltd.JAPANMetalor Technologies (Hong Kong) Ltd.CHINAMetalor Technologies (Sungapore) Pte., Ltd.SINGAPOREMetalor Technologies (Suzhou) Ltd.CHINAMetalor Technologies S.A.SWITZERLANDMetalor USA Refining CorporationUNITED STATESMetalor USA Refining CorporationUNITED STATESMetalirgica Met-Mex Peñoles S.A. De C.V.MEXICOMitsubishi Materials CorporationJAPANMitsu Mining and Smelting Co., Ltd.IAPANModeltech Sdn BhdMALAYSIAMooscow Special Alloys Processing PlantRUSSIAN FEDERATIONNadir Metal Rafineri San. Ve Tic. A.S.TURKEYNavoi Mining and Metallurgical CombinatUZBEKISTANNihon Material Co., Ltd.JAPANÖgussa Österreichische Gold- und Silber-Scheideanstalt GmbHAUSTRIAOJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet)PAMP S.A.SWITZERLANDPAMP S.A.SWITZERLANDPandia Pengagang Gold Industry Co., Ltd.CHINAPrioksky Plant of Non-Ferrous MetalsRUSSIAN FEDERATIONPAMP S.A.SWITZERLANDPand Refinery (Pty) Ltd.SOUTH AFRICARemondis Argentia B.V.NETHERLANDSRepublic Metals CorporationUNITED STATESRoyal Canadian MintCANADASAAMPFRANCE	Luoyang Zijin Yinhui Gold Refinery Co., Ltd.CHINACID001093MaterionUNITED STATESCID001113Matsuda Sangyo Co., Ltd.JAPANCID001119Metalor Technologies (Hong Kong) Ltd.CHINACID001149Metalor Technologies (Sizapore) Pte., Ltd.SINGAPORECID001152Metalor Technologies (Suzhou) Ltd.CHINACID001153Metalor Technologies S.A.SWITZERLANDCID001153Metalor USA Refining CorporationUNITED STATESCID001157Metalor Gehnologies S.A.De C.V.MEXICOCID001161Mitsui Mining and Smelting Co., Ltd.JAPANCID001188Mitsui Mining and Smelting Co., Ltd.JAPANCID002599Modelech San BhdMALAYSIACID002287Moscow Special Alloys Processing PlantRUSKIN FEDERATIONCID001220Navoi Mining and Metallurgical CombinatUZBEKISTANCID001226Navoi Mining and Metallurgical CombinatUZBEKISTANCID001226Navoi Mining and Metallurgical CombinatUZBEKISTANCID001226Navoi Mining and Metallurgical CombinatUZBEKISTANCID001325OJSC "The Guildov Krasnoyarsk Non-Ferrous Metal RafineryRUSSIAN FEDERATIONCID001326OJSC Novosibirsk RefineryRUSSIAN FEDERATIONCID001326OJSC Novosibirsk RefineryRUSSIAN FEDERATIONCID001326OJSC Novosibirsk RefineryRUSSIAN FEDERATIONCID001326Prioksky Plant of Non-Ferrous MetalsRUSSIAN FEDERATIONCID001362Prioksky Plant of Non-Ferrous MetalsRUSSIAN FEDERA

Gold	SAFINA A.S.	CZECH REPUBLIC	CID002290	Not Enrolled
Gold	Sai Refinery	INDIA	CID002853	Not Enrolled
Gold	Samduck Precious Metals	KOREA, REPUBLIC OF	CID001555	Compliant
Gold	SAMWON Metals Corp.	KOREA, REPUBLIC OF	CID001562	Not Enrolled
Gold	SAXONIA Edelmetalle GmbH	GERMANY	CID002777	Compliant
Gold	Schone Edelmetaal B.V.	NETHERLANDS	CID001573	Compliant
Gold	SEMPSA Joyería Platería S.A.	SPAIN	CID001585	Compliant
Gold	Shandong Tiancheng Biological Gold Industrial Co., Ltd.	CHINA	CID001619	Not Enrolled
Gold	Shandong Zhaojin Gold & Silver Refinery Co., Ltd.	CHINA	CID001622	Compliant
Gold	Sichuan Tianze Precious Metals Co., Ltd.	CHINA	CID001736	Compliant
Gold	Singway Technology Co., Ltd.	TAIWAN	CID002516	Compliant
Gold	So Accurate Group, Inc.	UNITED STATES	CID001754	Not Enrolled
Gold	SOE Shyolkovsky Factory of Secondary Precious Metals	RUSSIAN FEDERATION	CID001756	Compliant
Gold	Solar Applied Materials Technology Corp.	TAIWAN	CID001761	Compliant
Gold	Sudan Gold Refinery	SUDAN	CID002567	Not Enrolled
Gold	Sumitomo Metal Mining Co., Ltd.	JAPAN	CID001798	Compliant
Gold	T.C.A S.p.A	ITALY	CID002580	Compliant
Gold	Tanaka Kikinzoku Kogyo K.K.	JAPAN	CID001875	Compliant
Gold	The Refinery of Shandong Gold Mining Co., Ltd.	CHINA	CID001916	Compliant
Gold	Tokuriki Honten Co., Ltd.	JAPAN	CID001938	Compliant
Gold	Tongling Nonferrous Metals Group Co., Ltd.	CHINA	CID001947	Not Enrolled
Gold	Tony Goetz NV	BELGIUM	CID002587	Active
Gold	TOO Tau-Ken-Altyn	KAZAKHSTAN	CID002615	Not Enrolled
Gold	Torecom	KOREA, REPUBLIC OF	CID001955	Compliant
Gold	Umicore Brasil Ltda.	BRAZIL	CID001977	Compliant
Gold	Umicore Precious Metals Thailand	THAILAND	CID002314	Compliant
Gold	Umicore S.A. Business Unit Precious Metals Refining	BELGIUM	CID001980	Compliant
Gold	United Precious Metal Refining, Inc.	UNITED STATES	CID001993	Compliant
Gold	Universal Precious Metals Refining Zambia	ZAMBIA	CID002854	Not Enrolled
Gold	Valcambi S.A.	SWITZERLAND	CID002003	Compliant
Gold	Western Australian Mint trading as The Perth Mint	AUSTRALIA	CID002030	Compliant
Gold	WIELAND Edelmetalle GmbH	GERMANY	CID002778	Compliant
Gold	Yamamoto Precious Metal Co., Ltd.	JAPAN	CID002100	Compliant

Gold	Yokohama Metal Co., Ltd.	JAPAN	CID002129	Compliant
Gold	Yunnan Copper Industry Co., Ltd.	CHINA	CID000197	Not Enrolled
Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corporation	CHINA	CID002224	Compliant
Gold	Zijin Mining Group Co., Ltd. Gold Refinery	CHINA	CID002243	Compliant
Tantalum	Changsha South Tantalum Niobium Co., Ltd.	CHINA	CID000211	Compliant
Tantalum	Conghua Tantalum and Niobium Smeltry	CHINA	CID000291	Compliant
Tantalum	D Block Metals, LLC	UNITED STATES	CID002504	Compliant
Tantalum	Duoluoshan	CHINA	CID000410	Compliant
Tantalum	Exotech Inc.	UNITED STATES	CID000456	Compliant
Tantalum	F&X Electro-Materials Ltd.	CHINA	CID000460	Compliant
Tantalum	FIR Metals & Resource Ltd.	CHINA	CID002505	Compliant
Tantalum	Global Advanced Metals Aizu	JAPAN	CID002558	Compliant
Tantalum	Global Advanced Metals Boyertown	UNITED STATES	CID002557	Compliant
Tantalum	Guangdong Zhiyuan New Material Co., Ltd.	CHINA	CID000616	Compliant
Tantalum	H.C. Starck Co., Ltd.	THAILAND	CID002544	Compliant
Tantalum	H.C. Starck GmbH Goslar	GERMANY	CID002545	Compliant
Tantalum	H.C. Starck GmbH Laufenburg	GERMANY	CID002546	Not Enrolled
Tantalum	H.C. Starck Hermsdorf GmbH	GERMANY	CID002547	Compliant
Tantalum	H.C. Starck Inc.	UNITED STATES	CID002548	Compliant
Tantalum	H.C. Starck Ltd.	JAPAN	CID002549	Compliant
Tantalum	H.C. Starck Smelting GmbH & Co. KG	GERMANY	CID002550	Compliant
Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd.	CHINA	CID002492	Compliant
Tantalum	Hi-Temp Specialty Metals, Inc.	UNITED STATES	CID000731	Compliant
Tantalum	Jiangxi Dinghai Tantalum & Niobium Co., Ltd.	CHINA	CID002512	Compliant
Tantalum	Jiangxi Tuohong New Raw Material	CHINA	CID002842	Compliant
Tantalum	JiuJiang JinXin Nonferrous Metals Co., Ltd.	CHINA	CID000914	Compliant
Tantalum	Jiujiang Tanbre Co., Ltd.	CHINA	CID000917	Compliant
Tantalum	Jiujiang Zhongao Tantalum & Niobium Co., Ltd.	CHINA	CID002506	Compliant
Tantalum	KEMET Blue Metals	MEXICO	CID002539	Compliant
Tantalum	KEMET Blue Powder	UNITED STATES	CID002568	Compliant
Tantalum	King-Tan Tantalum Industry Ltd.	CHINA	CID000973	Compliant
Tantalum	LSM Brasil S.A.	BRAZIL	CID001076	Compliant
Tantalum	Metallurgical Products India Pvt., Ltd.	INDIA	CID001163	Compliant
Tantalum	Mineração Taboca S.A.	BRAZIL	CID001175	Compliant
Tantalum	Mitsui Mining & Smelting	JAPAN	CID001192	Compliant

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TatalumReind Indústria c Comércio Ltda.BRAZILCID002707CompliantTantalumReind Indústria c Comércio Ltda.CHINACID001522CompliantTantalumSolikamsk Magnesium Vorks OAORUSSIAN FEDERATIONCID001769CompliantTantalumTaki ChemicalsJAPANCID001891CompliantTantalumTake MetalsUNITED STATESCID002571CompliantTantalumTranzet, Inc.UNITED STATESCID002508CompliantTantalumWindengenge Encipencie Material Co., Ltd.CHINACID002508CompliantTantalumZhuzhou Cemented Carbide Group Co., Ltd.CHINACID002502CompliantTantalumXinXing Handenge Electronic Material Co., Ltd.CHINACID002508CompliantTinaAlphaUNITED STATESCID002202CompliantTinaAlphaUNITED STATESCID002203Not EnrolledTinaAlphaUNITED STATESCID002203Not EnrolledTinaAn Vinh Joint Stock Mineral Processing CompanyVIET NAMCID00228CompliantTinaChenzbou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID000278Not EnrolledTinaChina Tin Group Co., Ltd.CHINACID000278CompliantTinaCongargia PGMA Co., Ltd.CHINACID000278CompliantTinaCongargia PGMA Co., Ltd.CHINACID000278CompliantTinaChina Ti Group Co., Ltd.CHINACID000279CompliantTina <td>Tantalum</td> <td>Plansee SE Reutte</td> <td>AUSTRIA</td> <td>CID002556</td> <td>Compliant</td>	Tantalum	Plansee SE Reutte	AUSTRIA	CID002556	Compliant
TantalumRTH Tantalum Smeltry Co., Ltd.CHINACID001522CompliantTantalumSolikamsk Magnesium Works OAORUSSIAN FEDERATIONCID001769CompliantTantalumTaki ChemicalsJAPANCID001891CompliantTantalumTelex MetalsUNITED STATESCID001587CompliantTantalumTranzact, Inc.VINITED STATESCID002571CompliantTantalumUlba Metallurgical Plant JSCKAZAKHISTANCID002508CompliantTantalumVinxing HaoRong Electronic Material Co., Ltd.CHINACID002207CompliantTantalumXinxing HaoRong Electronic Material Co., Ltd.CHINACID002230CompliantTantalumZhuzhou Cemented Carbide Group Co., Ltd.CHINACID002232CompliantTinAlphaUNITED STATESCID002232CompliantTinAn Thai Minerals Co., Ltd.CHINACID002230Not EnrolledTinAn Thai Joint Stock Mineral Processing CompanyVIET NAMCID002232Not EnrolledTinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID002703Not EnrolledTinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID002702CompliantTinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID002703Not EnrolledTinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID002703CompliantTinChogorativa Metalurgica de Rondónia Ltda.BRAZILCID002592Compliant <t< td=""><td>Tantalum</td><td>QuantumClean</td><td>UNITED STATES</td><td>CID001508</td><td>Compliant</td></t<>	Tantalum	QuantumClean	UNITED STATES	CID001508	Compliant
TantalumSolikamsk Magnesium Works OAORUSSIAN FEDERATIONCID01769CompliantTantalumTak ChemicalsJAPANCID00189CompliantTantalumTeke KetalsUNITED STATESCID001891CompliantTantalumTranzact, Inc.UNITED STATESCID002571CompliantTantalumUbh Metallurgical Plant JSCCHINACID002508CompliantTantalumXinXing MaoRong Electronic Material Co., Ltd.CHINACID002307CompliantTantalumXinXing MaoRong Electronic Material Co., Ltd.CHINACID002232CompliantTantalumAurbou Cemented Carbide Group Co., Ltd.CHINACID002232CompliantTinAlphaUNITED STATESCID002232CompliantTinAn Thai Minerals Co., Ltd.VIET NAMCID002232CompliantTinAn Vinh Joint Stock Mineral Processing CompanyVIET NAMCID002232CompliantTinChenzou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID00273Not EnrolledTinConguriang ad Metallurgy Co., Ltd.CHINACID00278CompliantTinConguriang ad Metallurg Co., Ltd.CHINACID00278 </td <td>Tantalum</td> <td>Resind Indústria e Comércio Ltda.</td> <td>BRAZIL</td> <td>CID002707</td> <td>Compliant</td>	Tantalum	Resind Indústria e Comércio Ltda.	BRAZIL	CID002707	Compliant
TantalumTaki ChemicalsJAPANCID001869CompliantTantalumTelex MetalsUNTED STATESCID001891CompliantTantalumTranzact, Inc.UNTED STATESCID002571CompliantTantalumUlba Metallurgical Plant JSCKAZAKHSTANCID002508CompliantTantalumXinXing HaoRong Electronic Material Co., Ltd.CHINACID002508CompliantTantalumYichun Jin Yang Rare Metal Co., Ltd.CHINACID002232CompliantTinAlphaUNTED STATESCID002232CompliantTinAlphaUNTED STATESCID002232CompliantTinAn Thai Minerals Co., Ltd.VIET NAMCID002232CompliantTinAn Ninh Joint Stock Mineral Processing CompanyVIET NAMCID00228Not EnrolledTinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID002703Not EnrolledTinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID00278Not EnrolledTinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID00278Not EnrolledTinChun Ciuangxi) PGMA Co., Ltd.CHINACID00278Not EnrolledTinCV Quagxia Metalurgica de Rondônia Ltda.BRAZILCID002570CompliantTinCV Dua SekawanINDONESIACID002570CompliantTinCV Gita PesonaINDONESIACID002570CompliantTinCV Gita SekawanINDONESIACID002572CompliantTin <td>Tantalum</td> <td>RFH Tantalum Smeltry Co., Ltd.</td> <td>CHINA</td> <td>CID001522</td> <td>Compliant</td>	Tantalum	RFH Tantalum Smeltry Co., Ltd.	CHINA	CID001522	Compliant
TantalumTelex MetalsUNITED STATESCID001891CompliantTantalumTranzact, Inc.UNITED STATESCID002571CompliantTantalumUlba Metallurgical Plant JSCKAZAKHSTANCID001969CompliantTantalumXinXing HaoRong Electronic Material Co., Ltd.CHINACID002307CompliantTantalumYichun Jin Yang Rare Metal Co., Ltd.CHINACID002307CompliantTantalumZhuzhou Cemented Carbide Group Co., Ltd.CHINACID00232CompliantTinAlphaUNITED STATESCID00292CompliantTinAn Thai Minerals Co., Ltd.VIET NAMCID002285Not EnrolledTinAn Vinh Joint Stock Mineral Processing CompanyVIET NAMCID00228CompliantTinChina Tin Group Co., Ltd.CHINACID00228CompliantTinChina Tin Group Co., Ltd.CHINACID00278Not EnrolledTinChina Tin Group Co., Ltd.CHINACID00278Not EnrolledTinChina Tin Group Co., Ltd.CHINACID00278Not EnrolledTinCNMC (Guangxi) PGMA Co., Ltd.CHINACID00270CompliantTinCV ya JayaINDONESIACID00259CompliantTinCV Jua SekawanINDONESIACID002592CompliantTinCV Us SekawanINDONESIACID002592CompliantTinCV Us SekawanINDONESIACID002593CompliantTinCV Use SekawanINDONESIACID000315Comp	Tantalum	Solikamsk Magnesium Works OAO	RUSSIAN FEDERATION	CID001769	Compliant
TantalumTranzact, Inc.UNITED STATESCID002571CompliantTantalumUbla Metallurgical Plant JSCKAZAKHSTANCID001969CompliantTantalumXinXing HaoRong Electronic Material Co., Ltd.CHINACID002307CompliantTantalumYichun Jir Yang Rare Metal Co., Ltd.CHINACID002232CompliantTantalumZhuzhou Cemented Carbide Group Co., Ltd.CHINACID002232CompliantTinAlphaUNITED STATESCID002285Not EnrolledTinAn Thai Minerals Co., Ltd.VIET NAMCID002285Not EnrolledTinAn Vinh Joint Stock Mineral Processing CompanyVIET NAMCID002282CompliantTinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID0002703Not EnrolledTinChooperativa Metalurgica de Rondônia Ltda.BRAZILCID000278Not EnrolledTinCoperativa Metalurgica de Rondônia Ltda.BRAZILCID002592CompliantTinCV Das SekawanINDONESIACID002592CompliantTinCV Serumpun SebalaiINDONESIACID00233CompliantTinCV Verus Inti PerkasaINDONESIACID000313CompliantTinDowaJAPANCID002593CompliantTinDowaJAPANCID002512ActiveTinDowaJAPANCID002572ActiveTinElectro-TTCompliantTinElectro-TCompliantTinElectro	Tantalum	Taki Chemicals	JAPAN	CID001869	Compliant
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TantalumXinXing HaoRong Electronic Material Co., Ltd.CHINACID002508CompliantTantalumYichun Jin Yang Rare Metal Co., Ltd.CHINACID002307CompliantTantalumZhuzhou Cemented Carbide Group Co., Ltd.CHINACID002232CompliantTinAlphaUNITED STATESCID002292CompliantTinAn Thai Minerals Co., Ltd.VIET NAMCID002285Not EnrolledTinAn Ninh Joint Stock Mineral Processing CompanyVIET NAMCID0022703Not EnrolledTinChenzbou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID000228CompliantTinChina Tin Group Co., Ltd.CHINACID000278Not EnrolledTinChMC (Guangxi) PGMA Co., Ltd.CHINACID000278Not EnrolledTinCooperativa Metalurgica de Rondônia Ltda.BRAZILCID002570CompliantTinCV Dua SekawanINDONESIACID002592CompliantTinCV Serumpun SebalaiINDONESIACID0002592CompliantTinCV Serumpun SebalaiINDONESIACID000306CompliantTinCV Venus Inti PerkasaINDONESIACID000255CompliantTinDowaJAPANCID002552CompliantTinDowaJAPANCID002552CompliantTinDowaJAPANCID002572ActiveTinDowaJAPANCID002572ActiveTinDowaJAPANCID002572ActiveTinElectro- <td>Tantalum</td> <td>Tranzact, Inc.</td> <td>UNITED STATES</td> <td>CID002571</td> <td>Compliant</td>	Tantalum	Tranzact, Inc.	UNITED STATES	CID002571	Compliant
TantalumYichun Jin Yang Rare Metal Co., Ltd.CHINACID002307CompliantTantalumAhuzhou Cenented Carbide Group Co., Ltd.CHINACID002322CompliantTinAn Thai Minerals Co., Ltd.UNITED STATESCID002325Not EnrolledTinAn Vinh Joint Stock Mineral Processing CompanyVIET NAMCID002282CompliantTinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID000278Not EnrolledTinChina Tin Group Co., Ltd.CHINACID00078Not EnrolledTinComperativa Metalurgic de Rondônia Ltda.BRAZILCID002795CompliantTinCooperativa Metalurgic de Rondônia Ltda.BRAZILCID00270CompliantTinCV Ayi JayaINDONESIACID00270CompliantTinCV Gita PesonaINDONESIACID00259CompliantTinCV Gita PesonaINDONESIACID00313CompliantTinCV Gita PesonaINDONESIACID00315CompliantTinCV Verunpun SebalaiINDONESIACID00315CompliantTinCV Verung Inti PerkasaINDONESIACID00315CompliantTinOvaJAPANCID00272ActiveTinDowaJAPANCID00272ActiveTinDowaSPAINCID00315CompliantTinElectro-Intero-Intero-Intero-TinMechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Computy/UET NAMCID00272Active <td>Tantalum</td> <td></td> <td>KAZAKHSTAN</td> <td>CID001969</td> <td>Compliant</td>	Tantalum		KAZAKHSTAN	CID001969	Compliant
TantalumZhuzhou Cemented Carbide Group Co., Ltd.CHINACID002232CompliantTinAlphaUNITED STATESCID000292CompliantTinAn Thai Minerals Co., Ltd.VIET NAMCID002825Not EnrolledTinAn Thin Joint Stock Mineral Processing CompanyVIET NAMCID002703Not EnrolledTinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID002703Not EnrolledTinChina Tin Group Co., Ltd.CHINACID00278Not EnrolledTinChina Tin Group Co., Ltd.CHINACID00278Not EnrolledTinCoperativa Metalurgica de Rondônia Ltda.BRAZILCID00295CompliantTinCoperativa Metalurgica de Rondônia Ltda.BRAZILCID00295CompliantTinCV Ayi JayaINDONESIACID002570CompliantTinCV Dua SekawanINDONESIACID00316CompliantTinCV Gita PesonaINDONESIACID00315CompliantTinCV Venus Inti PerkasaINDONESIACID00315CompliantTinCV Venus Inti PerkasaJAPANCID002455CompliantTinDowaJAPANCID002572ActiveTinBenzetto-TCompliantCID002572ActiveTinElectro-TSPAINCID002572ActiveTinElmet S.L.U.SPAINCID002572ActiveTinElmet S.L.U.SPAINCID002488CompliantTinElmet S.L.U. <t< td=""><td>Tantalum</td><td>XinXing HaoRong Electronic Material Co., Ltd.</td><td>CHINA</td><td>CID002508</td><td>Compliant</td></t<>	Tantalum	XinXing HaoRong Electronic Material Co., Ltd.	CHINA	CID002508	Compliant
TinAlphaUNITED STATESCID00292CompliantTinAn Thai Minerals Co., Ltd.VIET NAMCID002825Not EnrolledTinAn Vinh Joint Stock Mineral Processing CompanyVIET NAMCID002703Not EnrolledTinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID001700CompliantTinChina Tin Group Co., Ltd.CHINACID000278Not EnrolledTinCNMC (Guangxi) PGMA Co., Ltd.CHINACID000278Not EnrolledTinCooperativa Metalurgica de Rondônia Ltda.BRAZILCID002570CompliantTinCV Ayi JayaINDONESIACID002570CompliantTinCV Gita PesonaINDONESIACID000306CompliantTinCV Gita PesonaINDONESIACID000313CompliantTinCV Vinited SmeltingINDONESIACID002593CompliantTinCV Vinited SmeltingINDONESIACID002455CompliantTinCV Venus Inti PerkasaINDONESIACID002455CompliantTinDowaJAPANCID002455CompliantTinDowaJAPANCID002572ActiveTinMechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock CompanyVIET NAMCID002572ActiveTinElnert S.L.U.SPAINCID000438CompliantTinElmet S.L.U.SPAINCID000448Not EnrolledTinElmet S.L.U.BRAZILCID000448Not Enrolled	Tantalum	Yichun Jin Yang Rare Metal Co., Ltd.	CHINA	CID002307	Compliant
TinAn Thai Minerals Co., Ltd.VIET NAMCID002825Not EnrolledTinAn Vinh Joint Stock Mineral Processing CompanyVIET NAMCID002703Not EnrolledTinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID000228CompliantTinChina Tin Group Co., Ltd.CHINACID000278Not EnrolledTinCNMC (Guangxi) PGMA Co., Ltd.CHINACID000278Not EnrolledTinCooperativa Metalurgica de Rondônia Ltda.BRAZILCID0002570CompliantTinCV Ayi JayaINDONESIACID002570CompliantTinCV Dua SekawanINDONESIACID0002592CompliantTinCV Serumpun SebalaiINDONESIACID000313CompliantTinCV Serumpun SebalaiINDONESIACID002593CompliantTinCV Vinited SmeltingINDONESIACID002593CompliantTinCV Vinited SmeltingINDONESIACID002555CompliantTinCV Vinited SmeltingINDONESIACID002555CompliantTinDowaJAPANCID002455CompliantTinDowaJAPANCID002455CompliantTinElectro-TTCID002572ActiveTinElectro-TFTCompliantTinElmet S.L.U.SPAINCID002774CompliantTinElmet S.L.U.SPAINCID002488CompliantTinElmet S.L.U.BAZILCID000448Not Enrolle	Tantalum	Zhuzhou Cemented Carbide Group Co., Ltd.	CHINA	CID002232	Compliant
TinAn Vinh Joint Stock Mineral Processing CompanyVIET NAMCID002703Not EnrolledTinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID000228CompliantTinChina Tin Group Co., Ltd.CHINACID000778Not EnrolledTinCNMC (Guangxi) PGMA Co., Ltd.CHINACID000278Not EnrolledTinCoperativa Metalurgica de Rondônia Ltda.BRAZILCID000270CompliantTinCV Ayi JayaINDONESIACID002570CompliantTinCV Dua SekawanINDONESIACID000306CompliantTinCV Gita PesonaINDONESIACID000313CompliantTinCV Serumpun SebalaiINDONESIACID002592CompliantTinCV Viga SekawanINDONESIACID000313CompliantTinCV Viga SekawanINDONESIACID002593CompliantTinCV Viga SekawanINDONESIACID002593CompliantTinCV Viga SekawanINDONESIACID002593CompliantTinCV Venus Inti PerkasaINDONESIACID00255CompliantTinDowaJAPANCID002455CompliantTinDowaJAPANCID002772ActiveTinBelectro-TTTTinElmet S.L.U.SPAINCID002774CompliantTinElmet S.L.U.SPAINCID002488CompliantTinElmet S.L.U.SPAINCID000438CompliantTinEstanho de R	Tin	Alpha	UNITED STATES	CID000292	Compliant
TinChenzhou Yunxiang Mining and Metallurgy Co., Ltd.CHINACID000228CompliantTinChina Tin Group Co., Ltd.CHINACID00070CompliantTinCNMC (Guangxi) PGMA Co., Ltd.CHINACID000278Not EnrolledTinCooperativa Metalurgica de Rondônia Ltda.BRAZILCID000295CompliantTinCV Ayi JayaINDONESIACID002570CompliantTinCV Gita PesonaINDONESIACID00306CompliantTinCV Serumpun SebalaiINDONESIACID00313CompliantTinCV Tiga SekawanINDONESIACID00315CompliantTinCV United SmeltingINDONESIACID002592CompliantTinCV Venus Inti PerkasaINDONESIACID00315CompliantTinDowaJAPANCID002572CompliantTinDowaJAPANCID002575CompliantTinBettro-TTCID002572CompliantTinElectro-TTCID002572ActiveTinElectro-TTCID002572ActiveTinElectro-TTCID002774CompliantTinElectro-TCID002774CompliantTinElectro-TCID002774CompliantTinElectro-TCID002774CompliantTinElectro-TCID002774CompliantTinElectro-TCID002774CompliantTin	Tin		VIET NAM	CID002825	Not Enrolled
TinChina Tin Group Co., Ltd.CHINACID001070CompliantTinCNMC (Guangxi) PGMA Co., Ltd.CHINACID000278Not EnrolledTinCooperativa Metalurgica de Rondônia Ltda.BRAZILCID000295CompliantTinCV Ayi JayaINDONESIACID002570CompliantTinCV Dua SekawanINDONESIACID000306CompliantTinCV Serumpun SebalaiINDONESIACID000315CompliantTinCV Tiga SekawanINDONESIACID000315CompliantTinCV Tiga SekawanINDONESIACID000315CompliantTinCV United SmeltingINDONESIACID000315CompliantTinCV Venus Inti PerkasaINDONESIACID000315CompliantTinDowaJAPANCID004255CompliantTinDowaJAPANCID002774CompliantTinElectro-TinSPAINCID002774CompliantTinElmet S.L.U.SPAINCID002774CompliantTinElmet S.L.U.BOLIVIACID00438CompliantTinElmet S.L.U.BOLIVIACID00448Not Enrolled	Tin	An Vinh Joint Stock Mineral Processing Company	VIET NAM	CID002703	Not Enrolled
TinCNMC (Guangxi) PGMA Co., Ltd.CHINACID000278Not EnrolledTinCooperativa Metalurgica de Rondônia Ltda.BRAZILCID000295CompliantTinCV Ayi JayaINDONESIACID002570CompliantTinCV Dua SekawanINDONESIACID002592CompliantTinCV Gita PesonaINDONESIACID000306CompliantTinCV Serumpun SebalaiINDONESIACID000313CompliantTinCV Tiga SekawanINDONESIACID002593CompliantTinCV United SmeltingINDONESIACID00315CompliantTinCV Venus Inti PerkasaINDONESIACID00315CompliantTinDowaJAPANCID00455CompliantTinDowaJAPANCID002572ActiveTinElectro-TinSPAINCID002774CompliantTinElmet S.L.U.SPAINCID002774CompliantTinElmet S.L.U.BOLIVIACID00438CompliantTinElmet S.L.U.BOLIVIACID00448Not Enrolled	Tin	Chenzhou Yunxiang Mining and Metallurgy Co., Ltd.	CHINA	CID000228	Compliant
TinCooperativa Metalurgica de Rondônia Ltda.BRAZILCID00295CompliantTinCV Ayi JayaINDONESIACID002570CompliantTinCV Dua SekawanINDONESIACID002592CompliantTinCV Gita PesonaINDONESIACID00306CompliantTinCV Serumpun SebalaiINDONESIACID000313CompliantTinCV Tiga SekawanINDONESIACID002593CompliantTinCV United SmeltingINDONESIACID002593CompliantTinCV Venus Inti PerkasaINDONESIACID002155CompliantTinDowaJAPANCID002455CompliantTinDowaJAPANCID002455CompliantTinElectro-TinMechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock CompanyVIET NAMCID002572ActiveTinElmet S.L.U.SPAINCID002774CompliantTinElmet S.L.U.BOLIVIACID00438CompliantTinElmet S.L.U.BAZILCID00448Not Enrolled		China Tin Group Co., Ltd.	CHINA	CID001070	Compliant
TinCV Ayi JayaINDONESIACID002570CompliantTinCV Dua SekawanINDONESIACID002592CompliantTinCV Gita PesonaINDONESIACID000306CompliantTinCV Serumpun SebalaiINDONESIACID000313CompliantTinCV Tiga SekawanINDONESIACID002593CompliantTinCV United SmeltingINDONESIACID00315CompliantTinCV Venus Inti PerkasaINDONESIACID002455CompliantTinDowaJAPANCID00402CompliantElectro-InterventInterventInterventTinMechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock CompanyVIET NAMCID002572ActiveTinElmet S.L.U.SPAINCID002774CompliantTinEM VintoBOLIVIACID000438CompliantTinExtanho de Rondônia S.A.BRAZILCID000448Not Enrolled			CHINA	CID000278	Not Enrolled
TinCV Dua SekawanINDONESIACID002592CompliantTinCV Gita PesonaINDONESIACID000306CompliantTinCV Serumpun SebalaiINDONESIACID000313CompliantTinCV Tiga SekawanINDONESIACID002593CompliantTinCV United SmeltingINDONESIACID00315CompliantTinCV Venus Inti PerkasaINDONESIACID002455CompliantTinDowaJAPANCID00402CompliantElectro-Interest CompliantCID002572ActiveTinMechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock CompanyVIET NAMCID002572ActiveTinElmet S.L.U.SPAINCID002774CompliantTinElmet S.L.U.BOLIVIACID00438CompliantTinExanho de Rondônia S.A.BRAZILCID000448Not Enrolled		Cooperativa Metalurgica de Rondônia Ltda.	BRAZIL	CID000295	1
TinCV Gita PesonaINDONESIACID000306CompliantTinCV Serumpun SebalaiINDONESIACID000313CompliantTinCV Tiga SekawanINDONESIACID002593CompliantTinCV United SmeltingINDONESIACID00315CompliantTinCV Venus Inti PerkasaINDONESIACID002455CompliantTinDowaJAPANCID00402CompliantElectro-Electro-Electro-Electro-TinMechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock CompanyVIET NAMCID002572ActiveTinElmet S.L.U.SPAINCID002774CompliantTinElmet S.L.U.BOLIVIACID00438CompliantTinEM VintoBOLIVIACID000438CompliantTinEstanho de Rondônia S.A.BRAZILCID000448Not Enrolled	Tin	CV Ayi Jaya	INDONESIA	CID002570	Compliant
TinCV Serumpun SebalaiINDONEINDONESIACID00313CompliantTinCV Tiga SekawanINDONESIACID002593CompliantTinCV United SmeltingINDONESIACID00315CompliantTinCV Venus Inti PerkasaINDONESIACID002455CompliantTinDowaJAPANCID000402CompliantElectro-Electro-Electro-Electro-TinMechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock CompanyVIET NAMCID002572ActiveTinElmet S.L.U.SPAINCID002774CompliantTinEM VintoBOLIVIACID000438CompliantTinEstanho de Rondônia S.A.BRAZILCID000448Not Enrolled	Tin	CV Dua Sekawan	INDONESIA	CID002592	Compliant
TinCV Tiga SekawanINDONESIACID002593CompliantTinCV United SmeltingINDONESIACID00315CompliantTinCV Venus Inti PerkasaINDONESIACID002455CompliantTinDowaJAPANCID000402CompliantElectro-Electro-TinMechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock CompanyVIET NAMCID002572ActiveTinElmet S.L.U.SPAINCID002774CompliantTinEM VintoBOLIVIACID00438CompliantTinEstanho de Rondônia S.A.BRAZILCID000448Not Enrolled	Tin	CV Gita Pesona	INDONESIA	CID000306	Compliant
TinCV United SmeltingINDONESIACID00315CompliantTinCV Venus Inti PerkasaINDONESIACID002455CompliantTinDowaJAPANCID000402CompliantElectro-Electro-Electro-Electro-TinMechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock CompanyVIET NAMCID002572ActiveTinElmet S.L.U.SPAINCID002774CompliantTinEM VintoBOLIVIACID00438CompliantTinEstanho de Rondônia S.A.BRAZILCID000448Not Enrolled	Tin	CV Serumpun Sebalai	INDONESIA	CID000313	Compliant
TinCV Venus Inti PerkasaINDONESIACID002455CompliantTinDowaJAPANCID000402CompliantElectro-Electro-Electro-Electro-TinMechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock CompanyVIET NAMCID002572ActiveTinElmet S.L.U.SPAINCID002774CompliantTinEM VintoBOLIVIACID00438CompliantTinEstanho de Rondônia S.A.BRAZILCID000448Not Enrolled		CV Tiga Sekawan	INDONESIA	CID002593	Compliant
TinDowaJAPANCID000402CompliantElectro-Electro-CID002572ActiveTinMechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock CompanyVIET NAMCID002572ActiveTinElmet S.L.U.SPAINCID002774CompliantTinEM VintoBOLIVIACID00438CompliantTinEstanho de Rondônia S.A.BRAZILCID000448Not Enrolled	Tin	CV United Smelting	INDONESIA	CID000315	Compliant
Electro-TinMechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock CompanyVIET NAMCID002572ActiveTinElmet S.L.U.SPAINCID002774CompliantTinEM VintoBOLIVIACID000438CompliantTinEstanho de Rondônia S.A.BRAZILCID000448Not Enrolled	Tin	CV Venus Inti Perkasa	INDONESIA	CID002455	Compliant
TinMechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock CompanyVIET NAMCID002572ActiveTinElmet S.L.U.SPAINCID002774CompliantTinEM VintoBOLIVIACID000438CompliantTinEstanho de Rondônia S.A.BRAZILCID000448Not Enrolled	Tin	Dowa	JAPAN	CID000402	Compliant
TinElmet S.L.U.SPAINCID002774CompliantTinEM VintoBOLIVIACID000438CompliantTinEstanho de Rondônia S.A.BRAZILCID000448Not Enrolled		Electro-			
TinEM VintoBOLIVIACID000438CompliantTinEstanho de Rondônia S.A.BRAZILCID000448Not Enrolled	Tin	Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company	yVIET NAM	CID002572	Active
TinEstanho de Rondônia S.A.BRAZILCID000448Not Enrolled		Elmet S.L.U.	SPAIN	CID002774	
	Tin		BOLIVIA	CID000438	Compliant
TinFenix MetalsPOLANDCID000468Compliant	Tin	Estanho de Rondônia S.A.	BRAZIL	CID000448	Not Enrolled
	Tin	Fenix Metals	POLAND	CID000468	Compliant

Tin	Gejiu Fengming Metallurgy Chemical Plant	CHINA	CID002848	Compliant
Tin	Gejiu Jinye Mineral Company	CHINA	CID002859	Compliant
Tin	Gejiu Kai Meng Industry and Trade LLC	CHINA	CID000942	Active
Tin	Gejiu Non-Ferrous Metal Processing Co., Ltd.	CHINA	CID000538	Compliant
Tin	Gejiu Yunxin Nonferrous Electrolysis Co., Ltd.	CHINA	CID001908	Active
Tin	Gejiu Zili Mining And Metallurgy Co., Ltd.	CHINA	CID000555	Not Enrolled
Tin	Guanyang Guida Nonferrous Metal Smelting Plant	CHINA	CID002849	Compliant
Tin	HuiChang Hill Tin Industry Co., Ltd.	CHINA	CID002844	Compliant
Tin	Huichang Jinshunda Tin Co., Ltd.	CHINA	CID000760	Not Enrolled
Tin	Jiangxi Ketai Advanced Material Co., Ltd.	CHINA	CID000244	Compliant
Tin	Magnu's Minerais Metais e Ligas Ltda.	BRAZIL	CID002468	Compliant
Tin	Malaysia Smelting Corporation (MSC)	MALAYSIA	CID001105	Compliant
Tin	Melt Metais e Ligas S.A.	BRAZIL	CID002500	Compliant
Tin	Metallic Resources, Inc.	UNITED STATES	CID001142	Compliant
Tin	Metallo-Chimique N.V.	BELGIUM	CID002773	Compliant
Tin	Mineração Taboca S.A.	BRAZIL	CID001173	Compliant
Tin	Minsur	PERU	CID001182	Compliant
Tin	Mitsubishi Materials Corporation	JAPAN	CID001191	Compliant
Tin	Modeltech Sdn Bhd	MALAYSIA	CID002858	Not Enrolled
Tin	Nankang Nanshan Tin Manufactory Co., Ltd.	CHINA	CID001231	Active
Tin	Nghe Tinh Non-Ferrous Metals Joint Stock Company	VIET NAM	CID002573	Not Enrolled
Tin	O.M. Manufacturing (Thailand) Co., Ltd.	THAILAND	CID001314	Compliant
Tin	O.M. Manufacturing Philippines, Inc.	PHILIPPINES	CID002517	Compliant
Tin	Operaciones Metalurgical S.A.	BOLIVIA	CID001337	Compliant
Tin	Phoenix Metal Ltd.	RWANDA	CID002507	Not Enrolled
Tin	PT Aries Kencana Sejahtera	INDONESIA	CID000309	Compliant
Tin	PT Artha Cipta Langgeng	INDONESIA	CID001399	Compliant
Tin	PT ATD Makmur Mandiri Jaya	INDONESIA	CID002503	Compliant
Tin	PT Babel Inti Perkasa	INDONESIA	CID001402	Compliant
Tin	PT Bangka Prima Tin	INDONESIA	CID002776	Compliant
Tin	PT Bangka Tin Industry	INDONESIA	CID001419	Compliant
Tin	PT Belitung Industri Sejahtera	INDONESIA	CID001421	Compliant
Tin	PT Bukit Timah	INDONESIA	CID001428	Compliant
Tin	PT Cipta Persada Mulia	INDONESIA	CID002696	Compliant
Tin	PT DS Jaya Abadi	INDONESIA	CID001434	Compliant
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Tin	PT Eunindo Usaha Mandiri	INDONESIA	CID001438	Compliant
Tin	PT Inti Stania Prima	INDONESIA	CID002530	Compliant
Tin	PT Justindo	INDONESIA	CID000307	Not Enrolled
Tin	PT Karimun Mining	INDONESIA	CID001448	Compliant
Tin	PT Kijang Jaya Mandiri	INDONESIA	CID002829	Compliant
Tin	PT Mitra Stania Prima	INDONESIA	CID001453	Compliant
Tin	PT O.M. Indonesia	INDONESIA	CID002757	Compliant
Tin	PT Panca Mega Persada	INDONESIA	CID001457	Compliant
Tin	PT Prima Timah Utama	INDONESIA	CID001458	Compliant
Tin	PT Refined Bangka Tin	INDONESIA	CID001460	Compliant
Tin	PT Sariwiguna Binasentosa	INDONESIA	CID001463	Compliant
Tin	PT Stanindo Inti Perkasa	INDONESIA	CID001468	Compliant
Tin	PT Sukses Inti Makmur	INDONESIA	CID002816	Compliant
Tin	PT Sumber Jaya Indah	INDONESIA	CID001471	Compliant
Tin	PT Timah (Persero) Tbk Kundur	INDONESIA	CID001477	Compliant
Tin	PT Timah (Persero) Tbk Mentok	INDONESIA	CID001482	Compliant
Tin	PT Tinindo Inter Nusa	INDONESIA	CID001490	Compliant
Tin	PT Tommy Utama	INDONESIA	CID001493	Compliant
Tin	PT Wahana Perkit Jaya	INDONESIA	CID002479	Compliant
Tin	Resind Indústria e Comércio Ltda.	BRAZIL	CID002706	Compliant
Tin	Rui Da Hung	TAIWAN	CID001539	Compliant
Tin	Soft Metais Ltda.	BRAZIL	CID001758	Compliant
Tin	Thaisarco	THAILAND	CID001898	Compliant
Tin	Tuyen Quang Non-Ferrous Metals Joint Stock Company	VIET NAM	CID002574	Not Enrolled
Tin	VQB Mineral and Trading Group JSC	VIET NAM	CID002015	Compliant
Tin	White Solder Metalurgia e Mineração Ltda.	BRAZIL	CID002036	Compliant
Tin	Yunnan Chengfeng Non-ferrous Metals Co., Ltd.	CHINA	CID002158	Active
Tin	Yunnan Tin Company Limited	CHINA	CID002180	Compliant
Tungsten	A.L.M.T. TUNGSTEN Corp.	JAPAN	CID000004	Compliant
Tungsten	ACL Metais Eireli	BRAZIL	CID002833	Not Enrolled
Tungsten	Asia Tungsten Products Vietnam Ltd.	VIET NAM	CID002502	Compliant
Tungsten	Chenzhou Diamond Tungsten Products Co., Ltd.	CHINA	CID002513	Compliant
Tungsten	Chongyi Zhangyuan Tungsten Co., Ltd.	CHINA	CID000258	Compliant
Tungsten	Dayu Weiliang Tungsten Co., Ltd.	CHINA	CID000345	Not Enrolled
Tungsten	Fujian Jinxin Tungsten Co., Ltd.	CHINA	CID000499	Compliant

Tungsten	Ganzhou Huaxing Tungsten Products Co., Ltd.	CHINA	CID000875	Compliant
Tungsten	Ganzhou Jiangwu Ferrotungsten Co., Ltd.	CHINA	CID002315	Compliant
Tungsten	Ganzhou Seadragon W & Mo Co., Ltd.	CHINA	CID002494	Compliant
Tungsten	Ganzhou Yatai Tungsten Co., Ltd.	CHINA	CID002536	Not Enrolled
Tungsten	Global Tungsten & Powders Corp.	UNITED STATES	CID000568	Compliant
Tungsten	Guangdong Xianglu Tungsten Co., Ltd.	CHINA	CID000218	Compliant
Tungsten	H.C. Starck GmbH	GERMANY	CID002541	Compliant
Tungsten	H.C. Starck Smelting GmbH & Co.KG	GERMANY	CID002542	Compliant
Tungsten	Hunan Chenzhou Mining Co., Ltd.	CHINA	CID000766	Compliant
Tungsten	Hunan Chuangda Vanadium Tungsten Co., Ltd. Wuji	CHINA	CID002579	Compliant
Tungsten	Hunan Chunchang Nonferrous Metals Co., Ltd.	CHINA	CID000769	Compliant
Tungsten	Hydrometallurg, JSC	RUSSIAN FEDERATION	CID002649	Compliant
Tungsten	Japan New Metals Co., Ltd.	JAPAN	CID000825	Compliant
Tungsten	Jiangwu H.C. Starck Tungsten Products Co., Ltd.	CHINA	CID002551	Compliant
Tungsten	Jiangxi Dayu Longxintai Tungsten Co., Ltd.	CHINA	CID002647	Not Enrolled
Tungsten	Jiangxi Gan Bei Tungsten Co., Ltd.	CHINA	CID002321	Compliant
Tungsten	Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.	CHINA	CID002313	Not Enrolled
Tungsten	Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.	CHINA	CID002318	Compliant
Tungsten	Jiangxi Xinsheng Tungsten Industry Co., Ltd.	CHINA	CID002317	Compliant
Tungsten	Jiangxi Xiushui Xianggan Nonferrous Metals Co., Ltd.	CHINA	CID002535	Compliant
Tungsten	Jiangxi Yaosheng Tungsten Co., Ltd.	CHINA	CID002316	Compliant
Tungsten	Kennametal Fallon	UNITED STATES	CID000966	Compliant
Tungsten	Kennametal Huntsville	UNITED STATES	CID000105	Compliant
Tungsten	Malipo Haiyu Tungsten Co., Ltd.	CHINA	CID002319	Compliant
Tungsten	Moliren Ltd	RUSSIAN FEDERATION	CID002845	Compliant
Tungsten	Niagara Refining LLC	UNITED STATES	CID002589	Compliant
Tungsten	Nui Phao H.C. Starck Tungsten Chemicals Manufacturing LLC	VIET NAM	CID002543	Compliant
Tungsten	Philippine Chuangxin Industrial Co., Inc.	PHILIPPINES	CID002827	Compliant
Tungsten	South-East Nonferrous Metal Company Limited of Hengyang City	CHINA	CID002815	Compliant
Tungsten	Tejing (Vietnam) Tungsten Co., Ltd.	VIET NAM	CID001889	Compliant
Tungsten	Unecha Refractory metals plant	RUSSIAN FEDERATION	CID002724	Compliant
Tungsten	Vietnam Youngsun Tungsten Industry Co., Ltd.	VIET NAM	CID002011	Compliant
Tungsten	Wolfram Bergbau und Hütten AG	AUSTRIA	CID002044	Compliant
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Tungsten	Woltech Korea Co., Ltd.	KOREA, REPUBLIC OF	CID002843	Compliant
Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.	CHINA	CID002320	Compliant
Tungsten	Xiamen Tungsten Co., Ltd.	CHINA	CID002082	Compliant
Tungsten	Xinfeng Huarui Tungsten & Molybdenum New Material Co., Ltd.	CHINA	CID002830	Compliant
Tungsten	Xinhai Rendan Shaoguan Tungsten Co., Ltd.	CHINA	CID002095	Compliant