SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Hanstveit Arve</u>						2. Issuer Name <b>and</b> Ticker or Trading Symbol Energy Recovery, Inc. [ERII]								ationship of F k all applicab Director		Person(s	s) to Issuer 10% Ov	vner
(Last)	(First)	`	(Middle)				3. Date of Earliest Transaction (Month/Day/Year) 04/20/2016								jive title		Other (s below)	specify
C/O ENERGY RECOVERY, INC. 1717 DOOLITTLE DRIVE					4. lf A	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(Street) SAN LEAND	RO CA	94	577											Form file	d by More	than Or	ne Reportin	ig Person
(City)	(State)	(Zi	Zip)															
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3) 2. Transac Date (Month/Dat				Day/Year) if a		2A. Deemed Execution Date, f any Month/Day/Year)		3. Transaction Code (Instr. 8)			Acquired (A) or Disposed 3, 4 and 5)		5. Amount of Securities Beneficially Owner Following Reporte				7. Nature of Indirect Beneficial Ownership	
									Code V		Amount	(A) or (D)	Price	<ul> <li>Transaction(s) (Instr. 3 and 4)</li> </ul>				(Instr. 4)
Common Stock 04/20/				0/2016	2016					50,000	D	\$12.5862(1	) 1,14	5,800		D		
Common Stock													150	,000		Ι	Held by Children	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	erivative Conversion Date Execution Date, ecurity (Instr. 3) or Exercise (Month/Day/Year) if any				ransaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ate Exer ration I hth/Day		7. Title and Securities I Derivative S (Instr. 3 and	Underlying Security 1 4) Amount	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	s Ily	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date	cisable	Expiration	Title	or Number of Shares					

Explanation of Responses:

1. Sales pursuant to filed 10b5-1 plan. This figure is the sales price of multiple trades at \$12.58615 per share. The reporting person undertakes to provide to the SEC staff, ERI, or a shareholder of ERI, full information about the number of shares sold at each separate price, upon request.

/s/ Lemyrtle E. Thompson,	
Attorney-in-Fact for Arve	04/21/2016
Hanstveit	
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.