SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [•] <u>Pate-Cornell Marie-Elisabeth Lucienne</u>					2. Issuer Name and Ticker or Trading Symbol Energy Recovery, Inc. [ERII]									tionship of R all applicab Director		Person	(s) to Issuer 10% Ov	vner
(Last)	(First)			3. Date of Earliest Transaction (Month/Day/Year) 02/06/2015									Officer (give title below)			Other (specify below)		
C/O ENERGY RECOVERY, INC. 1717 DOOLITTLE DRIVE					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv X					
(Street)														Form file	d by More	than C	ne Reportin	g Person
SAN LEANDRO CA			4577															
(City)	(State	») (i	Zip)															
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
Date				2. Transa Date (Month/D		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Dis Code (Instr.			Securities Acquired (A) or isposed Of (D) (Instr. 3, 4 a			5. Amount Securities Beneficiall Following	y Owned Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
								Code	v	Amount		(A) or (D)	Price	Transactio (Instr. 3 and				(Instr. 4)
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Yea	Code	action (Instr.	Derivativ Securitio Acquire Dispose	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable an Expiration Date (Month/Day/Year)			e and Arr ities Und ative Sec 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported	e s Illy g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisat		Expiration Date	Title		Amount or Number of Shares		Transaction(s) (Instr. 4)			
Stock Option (Right to Buy)	\$3.53	02/06/2015		A		44,456		(1)	0	02/06/2025		nmon ock	44,456	\$ <mark>0</mark>	246,44	42	D	

Explanation of Responses:

1. The Shares will fully vest on the first anniversary of the vesting commencement date of June 20, 2014

/s/ Lemyrtle E. Thompson, Attorney-in-Fact for Marie Elisabeth Pate-Cornell ** Signature of Reporting Person

02/09/2015

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.